

**Form Project Proposal Submission**  
**Consultancy for the preparation of lessons-learned study and DPKO/DFS guidelines to address impunity and ensure accountability for crimes against peacekeepers**

- (a) Brief description of the project (Not more than three paragraphs in total)

In its 14 May 2018 Presidential Statement on United Nations Peacekeeping Operations, the Security Council expressed “grave concern about the security threats and targeted attacks against United Nations peacekeepers in many peacekeeping missions” and called “on host countries to intensify efforts — and for others to support these efforts if requested — to investigate these acts of violence and arrest and bring to justice all those who attack, kill and seriously injure, United Nations personnel” (S/PRST/2018/10). In this context, there is a sound legal and policy basis for investigating and prosecuting alleged perpetrators of criminal acts against peacekeepers, including in international law. However, this has not necessarily been a high political priority in the past for host states, contributing countries or the United Nations. Much information is lacking in regard to actions taken and the outcome of investigative and judicial processes in the past, most importantly in the cases of the more than 943 peacekeepers that died as a result of acts of violence since 1948. While the primary responsibility for the investigation and prosecution of such acts rests with host states, they often lack the required capacities to do so adequately. Furthermore, there is no specific DPKO/DFS guidance for support to national authorities for the investigation and prosecution of cases involving peacekeepers, or cooperation with international justice mechanisms.

Therefore, it is critical that DPKO/DFS and field missions, in cooperation with other partners, take the necessary political, operational and technical measures with a view to support host states or international justice mechanisms in bringing perpetrators to justice. The proposed consultancy would consist of: 1) the preparation of a detailed assessment and lessons-learned study on this matter; 2) the preparation of specific DPKO/DFS guidelines, and training materials, on support to national justice authorities and international justice mechanisms to address impunity and ensure accountability for serious crimes against peacekeepers; and 3) the delivery of training-of-trainers sessions in New York.

This consultancy is directly related to the above-mentioned Presidential Statement of the Security Council and as part of measures taken for the implementation of related recommendations in the Santos Cruz report, as endorsed by the Secretary-General<sup>1</sup>. The overall impact of this initiative would be to increase the quantity and

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<sup>1</sup> See page of the Santos Cruz report i.e. that:

*“[The missions should] act swiftly and robustly to arrest and bring attackers to justice. Troops and police should be tireless in taking action against the criminals responsible for attacking the United Nations (and civilians). Security should be created by action and not by mere presence. Senior leadership that do not conduct these operations quickly should be held to account.*

*The United Nations should clarify its characterisation of attacks against peacekeepers, which are often weakly referred to in statements as “may” constitute war crimes.*

*Ensure that all attacks on United Nations personnel or premises (including those within them) are brought to the attention of the relevant prosecutorial or judicial authorities as a systematic course of action, and – strategically and where applicable – the ICC.*

*The United Nations should enhance cooperation with national judiciaries and the International Criminal Court and actively seek the prosecution of persons responsible for attacking United Nations peacekeepers.”*

quality of activities related to the prevention, investigation and prosecution of crimes against peacekeepers.

(b) Expected Outcomes, Outputs and Proposed Activities

Outcomes	Outputs	Proposed Activities
Enhanced understanding of past investigative and judicial processes in cases of serious crimes against peacekeepers, including cases of death since 1 January 2013	One report with a detailed assessment and lessons-learned on this matter (15,000 to 18,000 words)	Field assessment visits to Mali, the Central African Republic and the Democratic Republic of the Congo  Preparation of a detailed assessment and lessons-learned including: a) a detailed qualitative and quantitative analysis of the outcome of investigative and prosecutorial processes in these cases; b) key challenges faced by the national authorities; c) an assessment of support provided by the United Nations, if any; d) lessons-learned; and e) recommendations on ways and means to address impunity and ensure accountability for such crimes.
Increased in investigation and prosecution of serious crimes against peacekeepers through enhanced cooperation with national justice authorities and international justice mechanisms	One (1) draft DPKO/DFS Guideline document (10,000 to 12,000 words) and one (1) related training manual (10,000 to 12,000 words)  Two (2) training sessions in New York	Preparation of documents in close cooperation with all concerned stakeholders  Delivery of two (2) training-of-trainers sessions in New York

(c) Implementation Timeline<sup>2</sup>

**Eight (8) months from receipt of funds.**

(d) How does this project relate to internal (DPKO and DFS) and external United Nations partners (ie. DPA, DM, etc)?

**This is an initiative of the DPKO/DFS Implementation Support Team for the Fatalities Report (IST) to be undertaken in close cooperation with field missions, OLA, OHCHR**

<sup>2</sup> Determine whether the project will be implemented in a calendar year or longer and whether there are any dated events that may limit the timing of the funding. For instance, the project will fund a conference already scheduled and therefore the funds are required before the conference. There is no need to provide a detailed timeframe of events.

and UNDSS. Consultations have already taken place with partners in this regard as part of regular meetings of the IST.

(e) How gender aspects been included in the design and implementation of the project?

**Gender aspects have been fully included in the design and implementation of the project and will be fully reflected in the outputs i.e. the detailed assessment, the guideline assessment and the training sessions. A specific focus will be made on gender outreach activities as part of preventive measures for crimes against peacekeepers.**

(f) Brief explanation of any risks that the implementation of the project may face and how to mitigate them.

**The only significant risk for the project will be the quality of the consultancy services. This will be mitigated through a thorough selection process.**

(g) Proposed Budget

<b>Item<sup>3</sup></b>	<b>Brief Description</b>	<b>Total Amount</b>
<b>Consultancy costs</b>	<b>One-hundred and twenty (120) days of work for one senior consultant at US \$ 620 per day</b>	<b>US \$ 74,400</b>
<b>Travel costs</b>	<b>Travel of consultant from UNHQ to three missions for field assessments (cost per mission includes ticket, DSA and terminal expense for one person) i.e.:</b> <b>MONUSCO (5 days) = \$10,000</b> <b>MINUSCA (5 days) = \$10,000</b> <b>MINUSMA (5 days) = \$10,000</b>	<b>US \$ 30,000</b>
<b>Programme Support Costs (13%)<sup>4</sup></b>		<b>US \$13,572</b>
		<b>Total: US \$117,972</b>

<sup>3</sup> Post estimates need to include salary for the period together with standard costs, which include the following costs: (i) Rental Premises; (ii) Office Supplies; (iii) Telephone and Fax; and (iv) Computing Services. For the most recent scale of salaries and standard costs, please consult with the Executive Office. If you need a salary list, please contact OCOS or the EO.

<sup>4</sup> Mandatory Programme Support costs to be calculated against the subtotal of the programme support costs.